



MSPO SUPPLY CHAIN CERTIFICATIONS

REPORT MSPO SCCS

MAIN ASSESSMENT 2019

SERI MORIB PALM OIL MILL SDN BHD

Palm Oil Mill(s) included in the scope N/A, i.e. NO mills included in the scope

Name Palm Oil Mill	Mill Capacity (CSPO)	Location	GPS Reference	
(POM)	MT/hr	Address	Longitude	Latitude
-	-	-		

Audit date:	30 NOVEMBER 2019	
Report prepared by:	MOHD JOHARI MD KASSIM	(Lead Assessor)
Certification decision by:	MOHD RIZAL MD KASSIM	(Certifier)

Certifying Office

TRANS CERTIFICATION INTERNATIONAL SDN BHD

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TABLE OF CONTENTS

PART 1: CERTIFIED COMPANY DETAILS.....	4
1.1 Company Contact Details	4
Changes since previous audit: What are the changes (in different colour)	4
1.2 Facilities included in the Scope of the Audit	4
Changes since previous audit: What are the changes (in different colour)	4
Outsourced companies included in the scope of the audit.	4
N/A, no outsourcing	4
1.3 Contact Details of Respective Facilities	4
1.4 Audit Overview	5
PART 2: SUMMARY OF THE REPORT AND SCOPE OF THE AUDIT	5
2.1 MSPO SCCS Summary Report	5
2.2 Description of the Audit Scope	5
2.3 Multi Sites / Group Certification Only	5
2.4 Description of the Organizational Management Systems	5
PART 3: MSPO CERTIFIED PRODUCTS	6
3.1 MSPO SCCS Certified Volumes	6
3.2 Quantitative Information (Mills)	6
3.2.1 Monthly Records of Certified FFB received , CSPO and PK produced	6
3.2.2 Records of CSPO and certified PK sold (under book and claim and MSPO IT platform eTrace system) to each buyer.	6
PART 4: BACKGROUND TO THE REPORT	Error! Bookmark not defined.
4.1 Certification Body	7
4.2 The Lead Auditor	7
4.3 The Certification Decision Management Team	7
4.4 Summary of previous certification assessments and conclusion, with recommendations or non-conformances	7
4.5 Audit Agenda	7
4.6 MSPO Supply Chain Requirements	7
PART 5: CLOSING MEETING	Error! Bookmark not defined.
5.1 Certified Status at this Time	8
5.2 Actions that a need to be completed prior before certification can proceed	8
5.3 Audit findings	8

5.4	Details of any further information supplied by the client at this stage	8
5.5	Confidentiality	8
5.6	Annual audit	9
5.7	Non-Compliance	9
PART 6: CONCLUSIONS OF THE AUDIT & CERTIFICATE DETAILS		10
6.1	Final certification decision of Trans Certification International	10
6.2	Certificate Details and Scope	10
PART 7: FORMAL SIGN OFF OF AUDIT FINDINGS.....		Error! Bookmark not defined.
7.1	Signing by the Client	11
7.2	Signing by the Lead Auditor	11
ANNEX 1: Evaluation of a facility with outsourcing activity		
ANNEX 2: Summary of previous certification assessments and conclusion, with recommendations or non-conformances.....		Error! Bookmark not defined.

PART 1: CERTIFIED COMPANY DETAILS

1.1 Company Contact Details

Changes since previous audit:

Company Name:	Seri Morib Palm Oil Mill Sdn Bhd
Principle Contact Person:	Mr. Arvindt Roghan
Business Address:	Seri Morib Palm Oil Mill Sdn Bhd Lot 1209, 1221, 122, Mukim Batu, Jalan Bedford, 42800, Tg. Sepat, Kuala Langat, Selangor
Office Contact Number:	012-3306454
Fax Contact Number:	-
E-mail:	Arvindt.rogan@rsecopalm.com
Website:	-

1.2 Facilities included in the Scope of the Audit

Changes since previous audit:

Site included in the scope of the audit

Project Number	Name of site	Location address	Supply Chain Model
016-2019-SCCS	Seri Morib Palm Oil Mill Sdn Bhd	Seri Morib Palm Oil Mill Sdn Bhd Lot 1209, 1221, 122, Mukim Batu, Jalan Bedford, 42800, Tg. Sepat, Kuala Langat, Selangor	MB

Outsourced companies included in the scope of the audit.

N/A, no outsourcing

No	Name of company	Location address	Linked to site(s):	Visited (<i>see also Annex 2</i>)
-	-	-	-	<input type="checkbox"/>
-	-	-	-	<input type="checkbox"/>
-	-	-	-	<input type="checkbox"/>

1.3 Contact Details of Respective Facilities

List out the contact details of each management representative responsible for overseeing the certification process for each facility if different to that shown in table 1 above

No.	Contact name	Office phone	E-mail
1.			
2.			

1.4 Audit Overview

List out the planning of the audit cycle

Audit Type	Year	Executed Audit date
Main Assessment	2019	30 November 2019
ASA1	-	-
ASA2	-	-
ASA3	-	-
ASA4	-	-
Re-assessment	-	-

PART 2: SUMMARY OF THE REPORT AND SCOPE OF THE AUDIT

2.1 MSPO SCCS Summary Report

Summary report of the audit including a brief description of the scope of certification

A full MSPO SCCS certification audit was used during this implementation of supply chain model assessment and findings support the certification based on supply chain model stated above. The SOPs are well developed which can be easily adopted when handling the MB model.

2.2 Description of the Audit Scope

Description of the scope of the audit including the supply chain model(s) used

Project No.	Scope and Supply Chain Model
016-2019-SCCS	Production of Sustainable Palm Oil Product using Mass Balance Model (Fixed Inventory Period)

2.3 Multi Sites / Group Certification Only

Justification and explanation of the sampling formula used, applicable to Multi Sites / Group Certification Only

Not Applicable

2.4 Description of the Organizational Management Systems

Including organizations, management and operational systems to ensure compliance with the MSPO Supply Chain Certification Systems and Standards as detailed above

PART 3: MSPO SCCS CERTIFIED PRODUCTS

3.1 MSPO SCCS Certified Volumes

N/A, i.e. no refinery, manufacturer, etc. under scope certificate

Confirmation of the company's summary of annual certified volume of MSPO SCCS certified Palm Oil Products or Palm Derivatives over a specified period

Purchased

No.	Supplier	Product	Volume
1	0	0	0
2	0	0	0

Sold

No.	Product	Volume
1	0	0
2	0	0

3.2 Quantitative Information (Mills)

N/A, i.e. no mill(s) under scope certificate

3.2.1 Monthly Records of Certified FFB received , CSPO and PK produced

If this is the 1st Main Assessment, the figures used are from the last 12 months.

If this is an Annual Surveillance Assessment, the figures used are since the last audit.

No	Month-Year	Certified FFB (MT)	Certified CSPO (MT)	Certified PK (MT)
1	N/A	-	-	-
2	N/A	-	-	-
3	N/A	-	-	-
4	N/A	-	-	-
5	N/A	-	-	-
6	N/A	-	-	-
TOTAL		N/A	N/A	N/A

3.2.2 Records of CSPO and certified PK sold (under book and claim and MSPO IT platform e-Trace system) to each buyer.

If this is the 1st Main Assessment, the figures used are from the last 12 months.

If this is an Annual Surveillance Assessment, the figures used are since the last audit.

No	Buyers Name	Trading No	Certified CSPO (MT)	Certified PK (MT)
1	N/A	-	-	-
2	N/A	-	-	-
3	N/A	-	-	-
4	N/A	-	-	-
5	N/A	-	-	-
6	N/A	-	-	-
7	N/A	-	-	-

PART 4: BACKGROUND TO THE REPORT

4.1 Certification Body

TRANS CERTIFICATION INTERNATIONAL SDN BHD (TCI)

4.2 Lead Auditor

Name	Qualifications
MOHD JOHARI MD KASSIM	AUDITOR MSPO SCCS

4.3 Certification Decision Management Team

Name	Qualifications
MOHD RIZAL MD KASSIM	CERTIFIER MSPO SCCS

4.4 Summary Of Previous Certification Assessments And Conclusion, With Recommendations Or Non-Conformances

See Annex 2; Not applicable for main assessment reports

4.5 Audit Agenda

Date	Location	Main activities
30 November 2019	Seri Morib Palm Oil Mill Sdn Bhd Lot 1209, 1221, 122, Mukim Batu, Jalan Bedford, 42800, Tg. Sepat, Kuala Langat, Selangor	9.00-10.00 am - Opening meeting
		10.00-10.30 am - Site Visit
		10.30-15.00 pm - Document Audit
		15.00-15.30 pm - Closing Meeting

4.6 MSPO Supply Chain Requirements

MSPO Supply Chain Certification Standard, (MSPO SCCS-01) (November 2018)

PART 5: CLOSING MEETING

The purpose of the closing meeting is to allow the audit team to present their findings to the client, to agree dates for the completion of any corrective action required, and to establish any subsequent follow up action. The meeting is chaired by the audit team leader and wherever possible involve the same people who were present at the opening meeting.

Company name:	Seri Morib Palm Oil Mill Sdn Bhd
Date of closing meeting:	30 November 2019
Names of attendees	
Name:	Affiliation:
Mohd Johari MD Kassim	Lead Auditor

5.1 Certified Status at this Time

It is very important for the client to understand that until they receive written confirmation of their MSPO Supply Chain certification registration from the TCI certifier (see 6.2c below) and its expiry date that they are not certified and cannot make any claims concerning certification.

5.2 Actions that a Need to be Completed Prior before Certification can Proceed

- a) If any non-compliance was noted, then a certification decision is not possible until the client has taken steps to close out the non-compliances noted in the certification checklist. See also 6 below.
- b) If full compliance was noted during the audit, the next step is for the checklist and public summary plus any associated documentation, to be sent to the TCI MSPO SCCS Certifier, by the lead auditor. The certifier will review the documents and may refer back to either the lead auditor or to the client for further information or clarification.
- c) Once the certifier is satisfied, a positive certification will be made and a certificate is awarded and sent to the client. The MSPO SCCS is also notified within 14 days of the issuing of the certificate.

5.3 Audit Findings

The findings of the MSPO SCCS checklist and the certification report were presented to the client.

5.4 Details of Any Further Information Supplied by the Client at this Stage

No further information was given.

5.5 Confidentiality

TCI auditors will not discuss or reveal any of the confidential information seen during the audit to any third party. Any public summary of the main assessment will be approved by the client prior to publication.

5.6 Annual Audit

The certificate is valid for 5 years and the certificate holder needs to be audited annually

5.7 Non-Compliance

The client is made aware that:

- All non-compliance observed during an audit shall be classified as 'major' since all requirements for Supply Chain Certification have to be met before granting certification.
- Applicable to main assessment audits, all non-compliances shall be addressed satisfactorily by the client before certification may be granted. If non-compliances are not addressed within three (3) months of the audit, a full re-audit shall be required.
- TCI shall assess the effectiveness of the corrective and/or preventive actions taken before closing out the non-compliance.
- Non-compliances that are raised after the main certification are serious (i.e. must be considered as a major) and the integrity of the MSPO Supply Chain Certification is at risk.
- For subsequent annual assessment audits, a maximum of one month is to be given to the certified client to satisfactorily address the non-compliance. Should the non-compliance not be addressed within the one month maximum timeframe, a suspension or withdrawal of the certificate and a full re-audit may be necessary.
- Where objective evidence indicates that there has been a demonstrable breakdown in the supply chain caused by the certified client's actions or inactions, and that oil palm products have been or are about to be shipped which are falsely identified as MSPO certified product then immediate action will be taken by TCI, and the MSPO Supply Chain certification should be suspended until such time that it has been addressed.
- If certification is suspended or removed, for example on the basis of lack of effective corrective actions, the client shall inform their supply chain customers immediately. If certification is granted subsequently then this can be communicated to the customer group.

PART 6: CONCLUSIONS OF THE AUDIT & CERTIFICATE DETAILS

6.1 Final Certification Decision of Trans Certification International Sdn Bhd	
Summary of non-compliances:	
NC Number:	
Date:	
Reference to standard:	
Standard requirement:	
Evidence of non-compliance:	
Evidence of compliance: (to be filled in by certifier)	
Status (closed if applicable)	

6.2 Certificate Details and Scope	
Client number:	016-2019-SCCS
Certificate number:	MSPO SCCS-TCI-01-2019-01
Start date of certificate:	14.02.2020
End date of certificate:	13.02.2025
Date of first certification:	14.02.2020
Type of certification:	Single site: Yes <input checked="" type="checkbox"/> Multi site: Yes <input type="checkbox"/> Group: Yes <input type="checkbox"/>
Duration of certificate:	5 Years from date of certification

6.3 Certificate Status of Client
<p>Please select the applicable box, do not remove the others:</p> <p><input type="checkbox"/> Main Evaluation: All facilities included in the scope of this audit demonstrated full compliance with the MSPO SCCS.</p> <p>With effect from the certification date given below, this company and all facilities mentioned in the scope of this report are considered to be certified in accordance with the MSPO SCCS.</p> <p><input checked="" type="checkbox"/> Main Evaluation: Full compliance of all facilities in the scope of this audit with the MSPO SCCS is not yet demonstrated. Identified Non-conformities need to be closed prior to certification.</p> <p><input type="checkbox"/> Surveillance audit: All facilities included in the scope of this audit demonstrated full compliance with the MSPO SCCS. The certified status is maintained.</p> <p><input type="checkbox"/> Surveillance audit: Not all facilities included in the scope of this audit demonstrated continued compliance with the MSPO SCCS. Outstanding NC's need to be closed within 1 month from the audit date.</p>

PART 7: FORMAL SIGN OFF OF AUDIT FINDINGS

7.1 Signing by the Client

I the undersigned, being the most senior relevant management representative of the operation seeking or holding certification, agree with the contents and audit findings as presented in document (MSPO SCCS Report and Checklist).

I also confirm:

- Acceptance of liability in execution of the instructions given in the document and at the closing meeting.
- That this company was made aware that the findings of the audit team are tentative; pending review and decision making by the duly designated representatives of Trans Certification International's Certifications.
- That this company was informed that until they receive written confirmation of their MSPO Supply Chain certification registration and its expiry date that they are not certified and cannot make any claims concerning certification.
- That the formal record of the closing meeting is accurate and that all agenda items were covered by the lead auditor.
- I understand the implications of non-compliance as laid out above.

Acknowledged by:

Name:	NOR SHAFIQA BT KAHAR
Position:	MSPO CLERK
Date:	30 November 2019



Signature

7.2 Signing by the Lead Auditor

I the undersigned, being the Lead Auditor, confirm that this report is an accurate record of the findings and of the closing meeting. I further confirm that the summary of the findings as presented in this report are a true representation of the actual findings of the audit team.

Based on the findings during the assessment, I recommended the certification unit is:

Complies

Not Complies

With the MSPO SCCS, I the undersigned, being the Lead Auditor, recommend that:

Granting Certificate

Continue Certification

Suspend Certificate

Withdraw Certificate

Re-certificate

For Seri Morib Palm Oil Mill Sdn Bhd

Acknowledged by:

Name:	MOHD JOHARI MD KASSIM
Position:	LEAD AUDITOR
Date:	30 November 2019



Signature

7.3 Signing by the Certifier

I the undersigned, being the Reviewer and Certifier, confirm that this report is an accurate record of the findings and recommendation by Lead Auditor. I further confirm that the summary of the findings as presented in this report are a true representation of the actual findings of the audit team.

Based on the findings during the assessment, I recommended the certification unit is:

Complies

Not Complies

With the MSPO SCCS, I the undersigned, being the Reviewer and Certifier, decided that:

Granting Certificate

Continue Certification

Suspend Certificate

Withdraw Certificate

Re-certificate

For Seri Morib Palm Oil Mill Sdn Bhd

Acknowledged by:

Name: MOHD RIZAL MD KASSIM

Position: CERTIFIER

Date: 30 November 2019



Signature